

# Hakusan 0.12: A Confluence Tool

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Hakusan (<https://www.jaist.ac.jp/project/saigawa/>) is a confluence tool for left-linear term rewrite systems (TRSs). It analyzes confluence by successive application of *rule removal* criteria [5, 6, 10] based on rule labeling [9, 13], critical pair systems [4], and the generalization of Knuth and Bendix' criterion by Klein and Hirokawa [7]. Hakusan can produce proof certificates verifiable by CeTA [11], see [3].

Compared to the last version of Hakusan [6], non-confluence analysis has been improved by adopting the approach used in CSI [8]. Given a TRS  $\mathcal{R}$  and convertible terms  $t_1 \leftrightarrow_{\mathcal{R}}^* t_2$ , this approach constructs two tree automata  $\mathcal{A}_1$  and  $\mathcal{A}_2$  such that  $L(\mathcal{A}_i)$  is closed under  $\mathcal{R}$ -rewriting and  $t_i \in L(\mathcal{A}_i)$  for each  $i$ . If  $L(\mathcal{A}_1) \cap L(\mathcal{A}_2) = \emptyset$  then  $t_1$  and  $t_2$  are not joinable. Thus, non-confluence of  $\mathcal{R}$  is concluded. Construction of  $\mathcal{A}_i$  is heuristically done by tree automata completion [2] and closedness of its language under rewriting is tested by checking state compatibility and state coherence [1]. Tree automata completion uses the following criterion for testing closedness under rewriting.

**Proposition 1.** *Let  $\mathcal{A} = (\mathcal{F}, Q, Q_f, \Delta)$  be a deterministic tree automaton and  $\mathcal{R}$  a TRS. The language  $L(\mathcal{A})$  is closed under  $\mathcal{R}$ -rewriting if  $\mathcal{A}$  is compatible with all rules in  $\mathcal{R}$ . Here compatibility with  $\ell \rightarrow r$  means that  $r\sigma \rightarrow_{\Delta}^* q$  whenever  $\sigma : \text{Var}(\ell) \rightarrow Q$  and  $\ell\sigma \rightarrow_{\Delta}^* q \in Q$ .*

**Example 1.** *Consider the TRS  $\mathcal{R}$  over the signature  $\mathcal{F} = \{h, f, g, a, b\}$ :*

$$1: h(g, a, a) \rightarrow h(f, a, a) \quad 2: h(x, b, y) \rightarrow h(x, y, y) \quad 3: f \rightarrow g \quad 4: a \rightarrow b$$

By the aforementioned approach we can prove that the convertible terms  $t_1 = h(g, b, b)$  and  $t_2 = h(g, a, a)$  are not joinable. Here we illustrate how compatibility for Proposition 1 is tested. Consider the deterministic tree automaton  $\mathcal{A}_1 = (\mathcal{F}, Q, \{2\}, \Delta)$  with  $Q = \{0, 1, 2\}$  and  $\Delta = \{g \rightarrow 0, b \rightarrow 1, h(0, 1, 1) \rightarrow 2\}$ . This automaton satisfies  $L(\mathcal{A}_1) = \{t_1\}$  and the compatibility with all rules in  $\mathcal{R}$ . For example, the compatibility with rule 2 is verified by checking that all triples  $(q_1, q_2, q_3) \in Q^3$  satisfy the implication  $h(q_1, b, q_2) \rightarrow_{\Delta}^* q_3 \implies h(q_1, q_2, q_2) \rightarrow_{\Delta}^* q_3$ .

Compatibility check is a major bottleneck in tree automata completion. To ease the check, our tool exploits *persistence* of reachability.

**Proposition 2.** *Let  $\mathcal{R}$  be a many-sorted TRS and  $\Theta$  a sort elimination-operator [12]. If  $s$  is well-sorted then  $s \rightarrow_{\mathcal{R}}^* t$  and  $\Theta(s) \rightarrow_{\Theta(\mathcal{R})}^* \Theta(t)$  are equivalent.*

Thus, we can analyze reachability after performing type introduction. Type discipline enables us to discard ill-sorted state substitutions for compatibility check.

**Example 2** (continued from Example 1). *The TRS  $\mathcal{R}$  and the terms  $t_1$  and  $t_2$  can be seen as a TRS and terms over the many-sorted signature:*

$$h : A \times B \times B \rightarrow C \quad f : A \quad g : A \quad a : B \quad b : B$$

*The sorted signature naturally assigns sorts to the states of  $\mathcal{A}_1$  as follows:*

$$0 : A \quad 1 : B \quad 2 : C$$

*As  $h(q_1, b, q_2) \rightarrow_{\Delta}^* q_3$  induces  $q_1 : A$ ,  $q_2 : B$ , and  $q_3 : C$ , the compatibility of  $\mathcal{A}$  with rule 2 follows by testing the previous implication only for  $(q_1, q_2, q_3) \in \{0\} \times \{1\} \times \{2\}$ .*

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